

# Investigation Findings Report

<b>License #</b>	<b>Investigation ID</b>	<b>Date Investigation Received:</b>	09/01/2011
785-01-001	1	<b>Date Investigation Closed:</b>	12/08/2011

**Investigated by:** Ed Gonzalez

**Investigation Description:**

Received an email from Visions Community Services regarding an individual coming from Pleasante Vue into the Visions program with open sores. Spoke with Eula Myers, Executive Director of Visions who indicated that she had called APS regarding this incident.

<b>Inspection Date</b>	<b>Inspection Summary Description</b>
09/09/2011	<p>An unannounced licensure investigation was initiated by a complaint submitted. Please submit acceptable corrective action by October 11, 2011 to: Ed.Gonzalez@DBHDS.Virginia.Gov</p> <p>NOTE: After the completion of an investigation initiated on 9/9/11, OL Specialist has concluded that the provider has failed to assure an individual's Health &amp; Safety and this is a FOUNDLED case of neglect.</p> <p>OL Specialist has recommended that the provider be placed on a PROVISIONAL License this investigation.</p>

**Regulations Cited**

150.4	Human rights law and regulations
160.C.1	Provider shall collect maintain and report allegations of abuse & neglect
580.B	Structured program of care
590.A	Staffing plan to include type & role of employees
670.B	ISP shall be signed and dated by person resp. for implementation and individual served
720.A	Written Policy & procedure addressing adequate health care
720.A.4	Provider manages medical care needs or responds to abnormal findings
800.A.1	Policies on behavior management including seclusion, restraint and time out

**Investigation Findings**

Provider failed to report serious incident to the Office of Human Rights and to the Office of Licensing. Resident sustained injury that was not immediately addressed until the individual's Case Manager notified the provider.

Provider did not have sufficient amount of staffing. Documentation reviewed indicated the the owner's wife worked at least 32 hours straight.

Interview of owners regarding the incident and what was verified in the progress notes were not consistent to the events that occurred.

## *Investigation Findings Report*

Reviewed Behavioral Consultation Support Plan completed on 1/22/08, plan has not been updated. Sandra Baptiste indicated that new Therapeutic Consultant has been assigned but no new assessment has been completed.

Findings: This is a FOUNDED complaint of neglect due to the provider's failed actions to protect the individual from harm. Due to the seriousness of this incident the OL Specialists has submitted a recommendation to reduce the provider's license to a Provisional License. EG